

On the Eigenvalues of Simplicial Rook Graphs

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Simplicial Rook Graphs

Let $d, n \in \mathbb{N}$, and let $n\Delta^{d-1}$ denote the dilated simplex

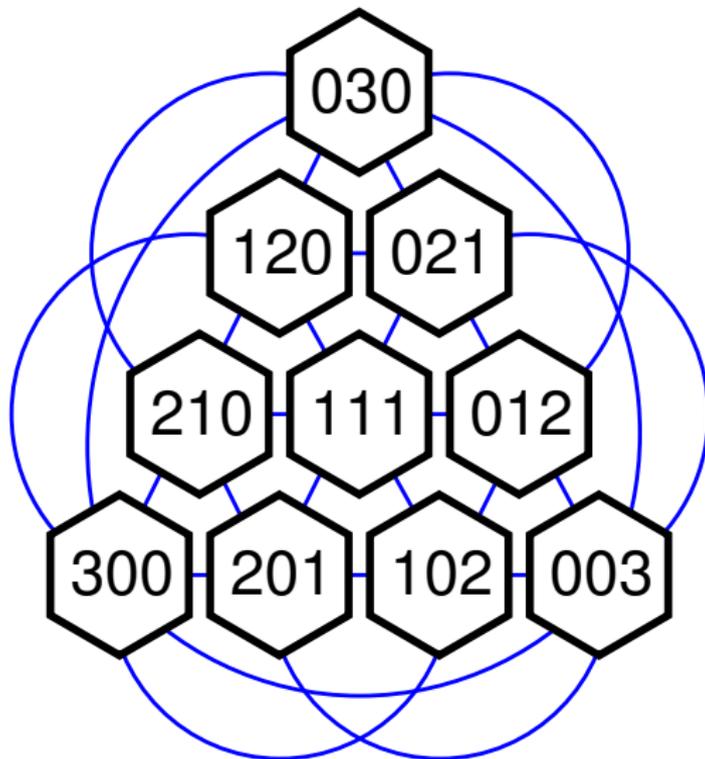
$$\{\mathbf{v} = (v_1, \dots, v_d) \in \mathbb{R}^d : \sum_{i=1}^d v_i = n\}.$$

The **simplicial rook graph** $SR(d, n)$ is the graph with vertices

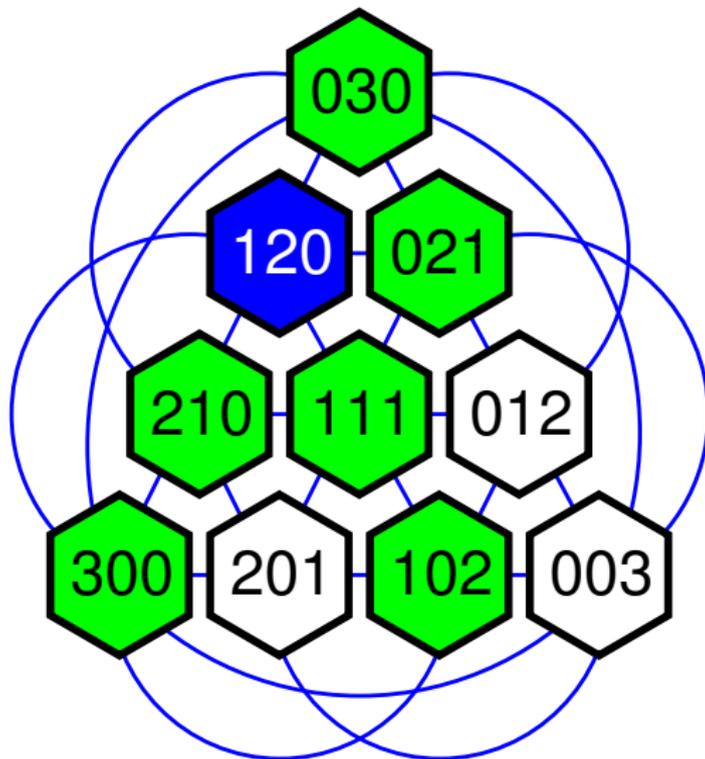
$$V(d, n) = n\Delta^{d-1} \cap \mathbb{N}^d$$

with two vertices adjacent iff they differ in **exactly two coordinates**.

Simplicial Rook Graphs



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Simplicial Rook Graphs

- ▶ $|V(d, n)| = v = \binom{n+d-1}{d-1}$
- ▶ $SR(d, n)$ is regular of degree $\delta = (d - 1)n$
- ▶ Eigenspaces of adjacency matrix A and Laplacian matrix L are the same because $AX = \lambda X \iff LX = (\delta - \lambda)X$
- ▶ Independence number $\alpha(SR(d, n)) =$ maximum number of nonattacking rooks on a simplicial chessboard
- ▶ $\alpha(SR(3, n)) = \lfloor (2n + 3)/3 \rfloor$
[Nivasch–Lev 2005; Blackburn–Paterson–Stinson 2011]

The Adjacency and Laplacian Matrices

Adjacency matrix of a graph G : $A = A(G)$ = matrix with rows and columns indexed by $V(G)$ with 1s for edges, 0s for non-edges

Laplacian matrix of G : $L = D - A$, where D = diagonal matrix of vertex degrees

- ▶ A acts on the vector space $\mathbb{R}V$ by

$$A\mathbf{v} = \sum_{\text{neighbors } \mathbf{w} \text{ of } \mathbf{v}} \mathbf{w}$$

- ▶ Eigenvalues of $A, L \implies$ connectivity, spanning trees, ...
- ▶ G regular \implies eigenspaces of A, L are the same

The Spectrum of $A(3, n)$

Theorem (JLM/JDW, 2012)

The eigenvalues of $A(3, n) = A(SR(3, n))$ are as follows:

$n = 2m + 1$ odd		$n = 2m$ even	
Eigenvalue	Multiplicity	Eigenvalue	Multiplicity
-3	$\binom{2m}{2}$	-3	$\binom{2m-1}{2}$
$-2, \dots, m-3$	3	$-2, \dots, m-4$	3
$m-1$	2	$m-3$	2
$m, \dots, n-2$	3	$m-1, \dots, n-2$	3
$2n$	1	$2n$	1

Method of proof: Construct explicit eigenvectors.

Counting Spanning Trees

Corollary

The number of spanning trees of $SR(3, n)$ is

$$\left\{ \begin{array}{ll} \frac{32(2n+3)^{\binom{n-1}{2}} \prod_{a=n+2}^{2n+2} a^3}{3(n+1)^2(n+2)(3n+5)^3} & \text{if } n \text{ is odd,} \\ \frac{32(2n+3)^{\binom{n-1}{2}} \prod_{a=n+2}^{2n+2} a^3}{3(n+1)(n+2)^2(3n+4)^3} & \text{if } n \text{ is even.} \end{array} \right.$$

Simplicial Rook Graphs in Arbitrary Dimension

Conjecture

The graph $SR(d, n)$ is integral for all d and n .

Partial results for least eigenvalue λ and corresp. eigenspace W :

- ▶ Eigenvectors come from **lattice permutohedra**.
- ▶ If $n \geq \binom{d}{2}$, then $\lambda = -\binom{d}{2}$ and $\dim W = \binom{n - (d-1)(d-2)/2}{d-1}$.
Note that

$$\lim_{n \rightarrow \infty} \frac{\dim W}{|V(d, n)|} = 1.$$

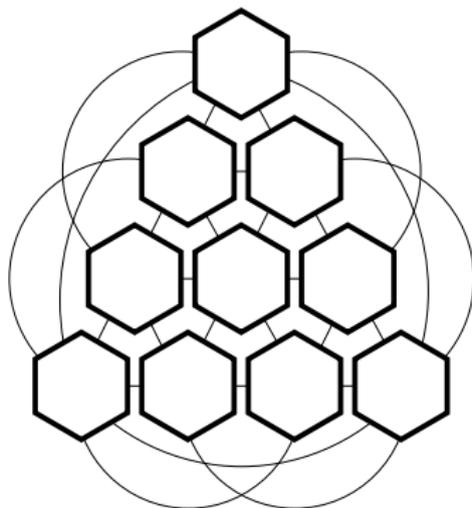
- ▶ If $n < \binom{d}{2}$, then the least eigenvalue appears to be $-n$, and $\dim W$ is the **Mahonian number** $M(d, n)$ of permutations in \mathfrak{S}_d with exactly n inversions.

Hexagon Vectors in $V(3, n)$

For each “internal” vertex $\mathbf{v} \in V(3, n)$ (i.e., $v_i > 0$ for all i), the signed characteristic vector of the **hexagon centered at \mathbf{v}** is an eigenvector with eigenvalue -3 .

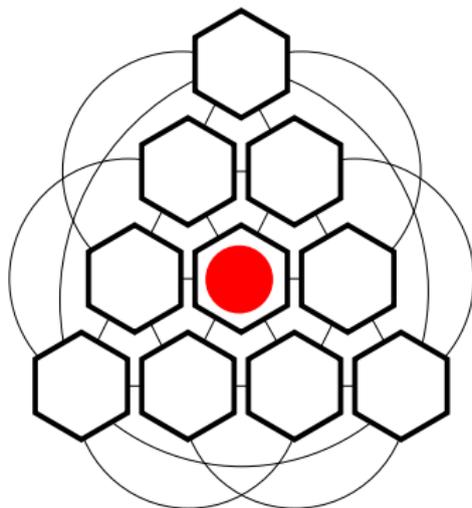
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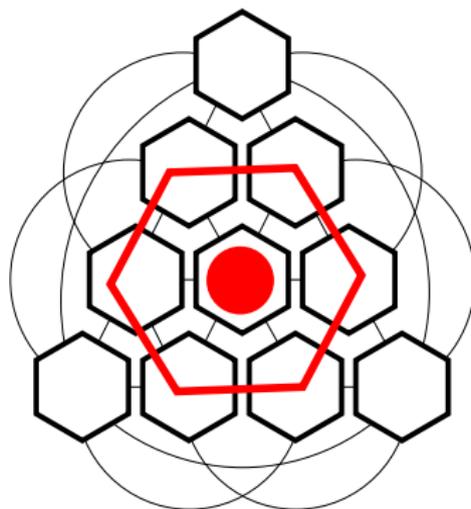
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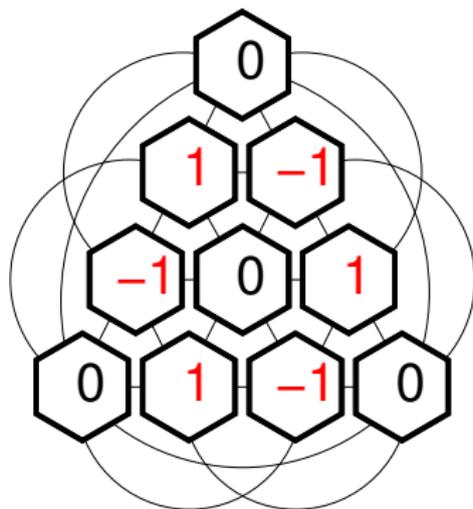
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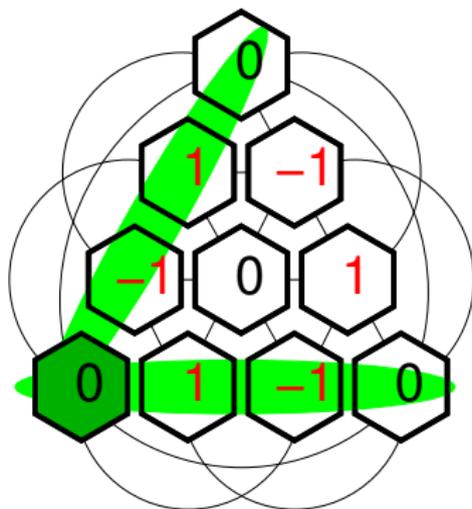
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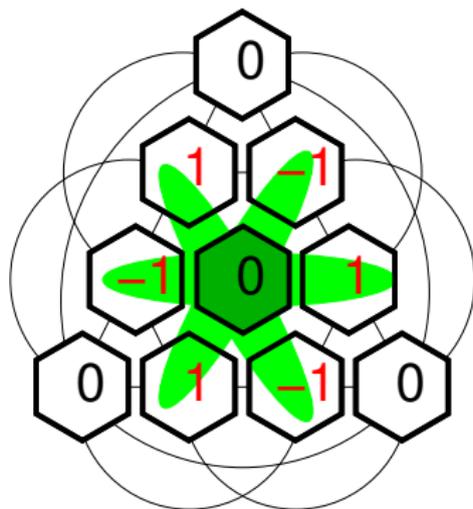
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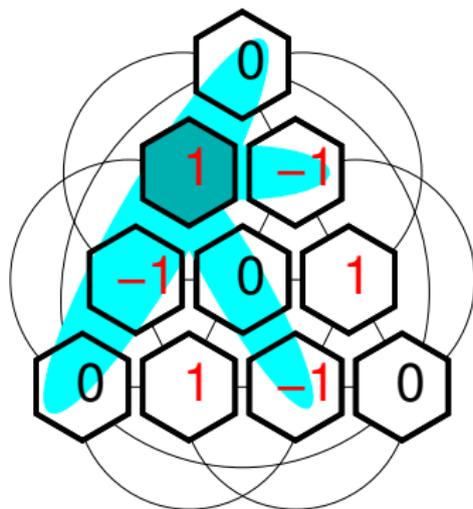
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Hexagon Vectors in $V(3, n)$

- ▶ Number of possible centers for a hexagon vector = number of interior vertices of $n\Delta^{d-1} =$

$$\binom{v-1}{2}.$$

- ▶ The hexagon vectors are all linearly independent.
- ▶ The other $\binom{v+2}{2} - \binom{v-2}{2} = 3v$ eigenvectors have explicit formulas in terms of characteristic vectors of lattice lines.

Permutohedron Vectors in $G(d, n)$

Definition

Let $\mathbf{p} \in \mathbb{Z}^d$ (if d is odd) or $(\mathbb{Z} + \frac{1}{2})^d$ (if d is even). The **lattice permutohedron** centered at \mathbf{p} is

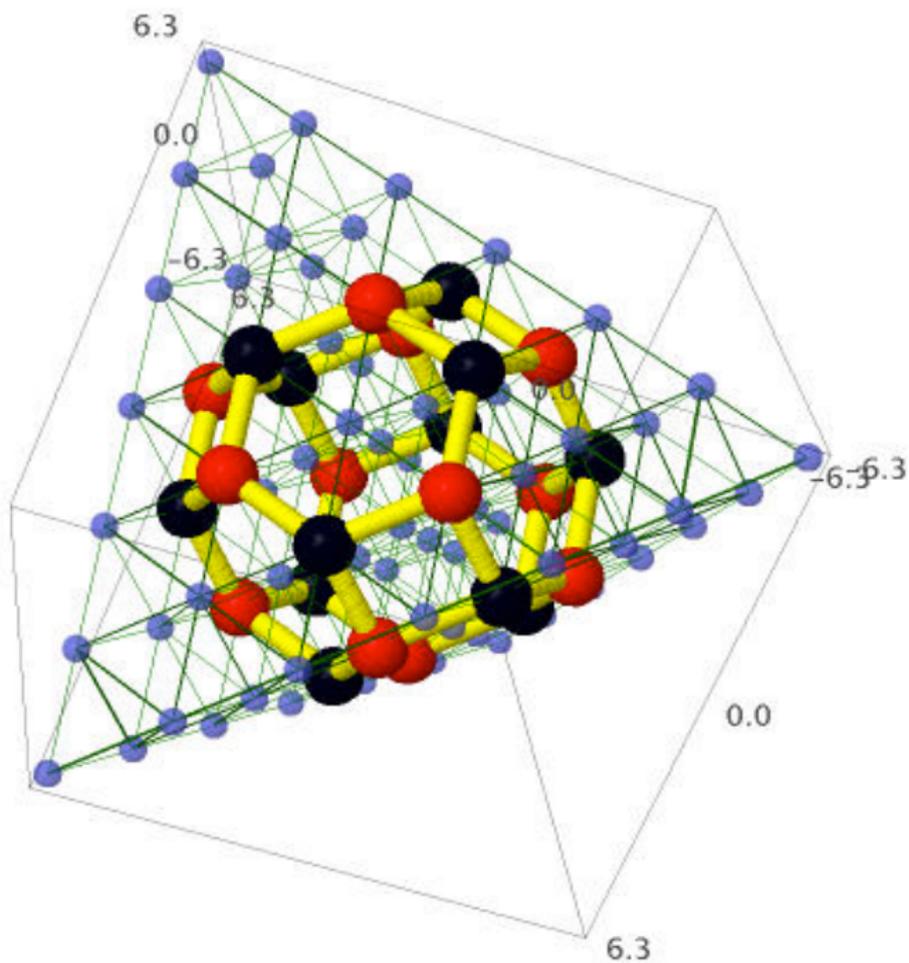
$$\text{Per}(\mathbf{p}) = \{\mathbf{p} + \sigma(\mathbf{w}) : \sigma \in \mathfrak{S}_d\}$$

where \mathfrak{S}_d is the symmetric group and

$$\mathbf{w} = \left(\frac{1-d}{2}, \frac{3-d}{2}, \dots, \frac{d-3}{2}, \frac{d-1}{2} \right).$$

“Most” eigenvectors of $SR(d, n)$ are signed characteristic vectors $\mathcal{H}_{\mathbf{p}}$ of lattice permutohedra inscribed in the simplex $n\Delta^{d-1}$.

[SHOW THE NIFTY SAGE PICTURE]



Permutohedron Eigenvectors

- ▶ Each $\mathcal{H}_{\mathbf{p}}$ is an eigenvector of $A(d, n)$ with eigenvalue $-\binom{d}{2}$
- ▶ The $\mathcal{H}_{\mathbf{p}}$ are linearly independent.
- ▶ Permutohedron vectors account for “most” eigenvectors:

$$\frac{\#\{\mathbf{p}: \text{Per}(\mathbf{p}) \subset V(d, n)\}}{|V(d, n)|} = \frac{\binom{n - \binom{d-1}{2}}{d-1}}{\binom{n+d-1}{d-1}} \rightarrow 1 \quad \text{as } n \rightarrow \infty.$$

The Case $n < \binom{d}{2}$

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Number of partial permutohedra = **Mahonian number** $M(d, n)$
= number of permutations in \mathfrak{S}_d with n inversions
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Construction uses (ordinary, non-simplicial) rook theory!

The Case $n < \binom{d}{2}$

- ▶ **Permutation** $\pi \in \mathfrak{S}_d$ with n inversions \rightarrow “inversion word” (a_1, \dots, a_d) , where $a_i = \#\{j \in [d] : \pi_j > \pi_i\}$
(note that $\sum a_i = n$)
- ▶ **Rook placement** σ on skyline Ferrers board $(a_1, \dots, a_d) \rightarrow$ **lattice point** $x(\sigma) = (a_i + i - \sigma_i) \in n\Delta^{d-1}$
- ▶ **Eigenvector** $X_\pi = \sum_{\sigma} \varepsilon(\sigma)x(\sigma)$
- ▶ Proof that X_π is an eigenvector: sign-reversing involution moving rooks around

Open Problems

- ▶ (The big one.) Prove that $A(d, n)$ (equivalently, $L(d, n)$) has integral spectrum for all d, n . (Verified for lots of d, n .)
- ▶ The induced subgraphs

$$SR(d, n)|_{V(d, n) \cap \text{Per}(\mathbf{p})}$$

also appear to be Laplacian integral for all d, n, \mathbf{p} . (Verified for $d \leq 6$.)

- ▶ Is $A(d, n)$ determined up to isomorphism by its spectrum? (We don't know.)

Acknowledgements

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- ▶ You for listening!

Preprint: [arxiv:1209.3493](https://arxiv.org/abs/1209.3493)