

Math 821, Spring 2014  
Solution Set #1  
Due date: Friday, January 31

**Problem #1** Let  $X$  be a path-connected topological space. Prove that  $X$  is connected. (Recall that the converse is not true — the topologists' sine curve is a counterexample.)

**Solution:** We need to show that  $X$  has no subset  $U$  that is “clopen” (i.e., both closed and open) other than  $\emptyset$  or  $X$ . Suppose  $U$  is such a clopen subset, so that its complement  $V = X \setminus U$  is also clopen. Suppose that  $p \in U$  and that  $q \in X \setminus U$ . Let  $P$  be a  $p, q$ -path in  $X$  parametrized by  $f : I = [0, 1] \rightarrow X$ . Then  $P = (P \cap U) \cup (P \cap V)$ . Let  $A = f^{-1}(P \cap U)$  and  $B = f^{-1}(P \cap V)$ . Then

- $A \cup B = I$  (because  $f(I) = P$ );
- $A \cap B = \emptyset$  (because  $f(A) \cap f(B) = \emptyset$ );
- $A, B$  are open in  $I$  (by continuity);
- $\emptyset \subsetneq A, B \subsetneq I$  (because  $0 \in A \setminus B$  and  $1 \in B \setminus A$ ).

But this is a contradiction because  $I$  is connected. Therefore, no such pair  $p, q$  can exist, which says that one of  $U, X \setminus U$  is empty. It follows that  $X$  is connected. (In principle, this argument uses the continuity of  $f$  to reduce the “path-connected implies connected” statement about an arbitrary topological space to the same statement about the familiar topological space  $I$ .)  $\square$

**Alternate Solution:** Fix  $x \in X$ . For every  $y \in X$ , choose a path  $f_y : I \rightarrow X$  with  $f_y(0) = x$  and  $f_y(1) = y$ , and let  $P_y = f_y(I) \subseteq X$ . Then each  $P_y$  is connected (because it is the continuous image of the connected space  $I$ ) and  $\bigcap_{y \in X} P_y \neq \emptyset$  (because it contains  $x$ ), so  $\bigcup_{y \in X} P_y = X$  is connected.

**Problem #2** Let  $\Gamma$  be a finite graph. Prove that if  $\Gamma$  is connected, then it is path-connected.

**Solution:** I'll prove something more general: every space  $X$  that is both connected and locally path-connected is path-connected. (“Locally path-connected” means that every point  $x$  has a connected open neighborhood  $U_x$ .) A graph is locally path-connected because every vertex has a neighborhood that looks like the vertex itself plus  $d$  rays sticking out (where  $d$  is the degree of the vertex — the number of edges attached to it, possibly infinite, counting a loop as two edges) and every point on the interior of an edge has a neighborhood that looks like an open interval.

Let  $x \in X$  and let  $Y$  be the set of all points that are joined to  $x$  by a path. For every  $y \in Y$  and  $q \in U_y$ , we have an  $x, y$ -path and a  $y, q$ -path; concatenating them produces an  $x, q$ -path. Therefore  $Y = \bigcup_{y \in Y} U_y$  is open.

On the other hand, let  $Z = X \setminus Y$ . For every  $z \in Z$  and  $q \in U_z$ , we cannot have an  $q, x$ -path, since we certainly have a  $z, q$ -path and concatenating the two would produce an  $z, x$ -path, which cannot exist. Therefore,  $Z = \bigcup_{z \in Z} U_z$  is open.

We have constructed a clopen decomposition  $X = Y \cup Z$  with  $Y \neq \emptyset$  (because  $x \in Y$ ). Since  $X$  is connected, we must have  $Y = X$  and  $Z = \emptyset$ . This is precisely the statement that  $X$  is path-connected.

In fact, we don't even need the assumption of finiteness — any cell complex will work. What this tells us, among other things, is that the topologists' sine curve cannot be realized as a cell complex.

**Problem #3** Let  $X$  and  $Y$  be topological spaces and let  $f : X \rightarrow Y$  be a continuous function that is onto. Prove that if  $X$  is compact, then so is  $Y$ .

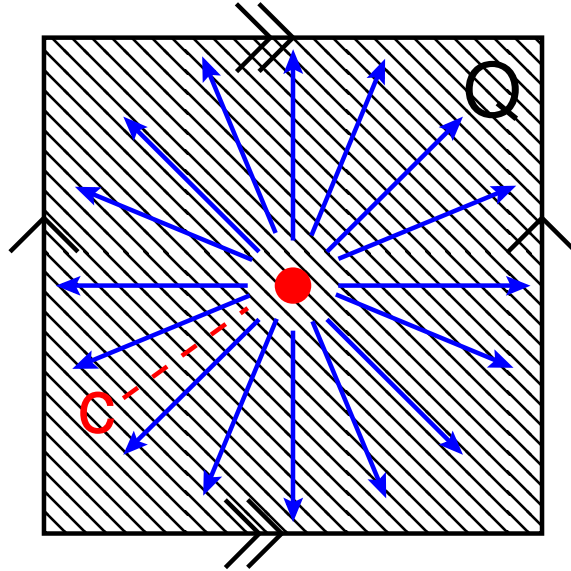
**Solution:** Let  $\{U_\alpha \mid \alpha \in A\}$  be an open cover of  $Y$ . Then  $\{f^{-1}(U_\alpha) \mid \alpha \in A\}$  is an open cover of  $X$  (because every point in  $X$  gets mapped to a point in at least one  $U_\alpha$ , hence belongs to  $f^{-1}(U_\alpha)$ ). By compactness, it has a finite subcover:  $\{f^{-1}(U_\alpha) \mid \alpha \in A'\}$ , where  $A' \subseteq A$  is finite. I.e.,  $X = \bigcup_{\alpha \in A'} f^{-1}(U_\alpha)$ . This implies set-theoretically that  $Y = \bigcup_{\alpha \in A'} U_\alpha$ , so there we have the desired finite subcover.

**Problem #4** [Hatcher p.18 #1] Construct an explicit deformation retraction of the torus with one point deleted onto a graph consisting of two circles intersecting in a point.

**Solution:** Draw a square  $Q$  from which the center point  $c$  has been deleted. For each point  $p \in Q \setminus c$ , draw the ray  $\vec{cp}$  and let  $f(p)$  be the point where that ray hits the boundary  $\partial Q$ . Define  $F : Q \times I \rightarrow Q$  by

$$F(p, t) = (1 - t)p + tf(p)$$

(where the arithmetic is vector arithmetic in  $\mathbb{R}^2$ ). Note that  $F(0, p) = p$  and  $F(1, p) = f(p)$ . Moreover, if  $p \in \partial Q$  then  $F(p, t) = p$  for all  $t$ . So  $F$  is a deformation retraction.



If we pass from  $Q$  to the torus  $T$  by identifying opposite sides, the map  $F$  is still well-defined and is a deformation retraction. Note that  $\partial Q$  maps onto the union of two circles that meet in a point — one longitudinal and one meridional circle.

**Note:** Many of you found the explicit formula for  $f$ . Specifically, if  $p = (x, y)$  in Cartesian coordinates, then

$$f(p) = \frac{1}{\max(|x|, |y|)} p$$

which is well-defined precisely because  $p \neq (0, 0)$ . However, the geometric description above is sufficient.

One solver (Billy) found another way to say this: build the torus by starting with the closed unit **disk**, partitioning its boundary circle into four  $90^\circ$  arcs (say, the intersections with the four quadrants in  $\mathbb{R}^2$ ), and

identifying them. Then the deformation retraction can be expressed very naturally in polar coordinates:  
 $F((r, \theta), t) = ((1 - t)r + t, \theta)$ .

**Problem #5** [Hatcher p.18 #3, more or less] (a) Show that homotopy equivalence of spaces is an equivalence relation.

For reflexivity, the identity map is a homotopy equivalence, and symmetry is immediate from the definition. For transitivity, suppose we have maps as shown that are all homotopy equivalences.

$$\begin{array}{ccccc} X & \xrightarrow{f} & Y & \xrightarrow{h} & Z \\ & \xleftarrow{g} & & \xleftarrow{k} & \\ & & Y & & \end{array}$$

Let  $p = g \circ k \circ h \circ f$ . We need to construct a homotopy  $\alpha \simeq \mathbb{1}_X$ . By hypothesis, suppose we have a homotopy

$$q_t : Y \rightarrow Y, \quad q_0 = \mathbb{1}_Y, \quad q_1 = k \circ h.$$

Then  $g \circ q_t \circ f$  is a homotopy with  $g \circ q_1 \circ f = p$  and  $g \circ q_t \circ f = g \circ f$ . Therefore

$$p = g \circ k \circ h \circ f \simeq g \circ f \simeq \mathbb{1}_X.$$

(b) Fix spaces  $X, Y$  and let  $f, g$  be maps  $X \rightarrow Y$ . Show that the relation “ $f$  is homotopic to  $g$ ” is an equivalence relation.

Reflexivity:  $f \simeq f$  by the homotopy  $F(x, t) = f(x)$ .

Symmetry: If  $F(x, t)$  is a homotopy between  $f$  and  $g$  then  $F(x, 1 - t)$  is a homotopy between  $g$  and  $f$ .

Transitivity: If  $F(x, t), G(x, t)$  realize homotopies  $f \simeq g$  and  $g \simeq h$ , then define  $H(x, t) = F(x, 2t)$  for  $0 \leq t \leq 1/2$  and  $H(x, t) = G(x, 2t - 1)$  for  $1/2 \leq t \leq 1$ .

(c) Show that a map homotopic to a homotopy equivalence is a homotopy equivalence.

Lemma: Let  $f_0, f_1$  be homotopic maps  $X \rightarrow Y$ . Let  $a : W \rightarrow X$  and  $b : Y \rightarrow Z$  be maps. Then  $f_0 \circ a \simeq f_1 \circ a$  and  $b \circ f_0 \simeq b \circ f_1$ .

Proof: If  $F : X \rightarrow I$  is a homotopy between  $f_0$  and  $f_1$ , then  $F \circ (a \times \mathbb{1})$  is a homotopy between  $f_0 \circ a$  and  $f_1 \circ a$  and  $b \circ F$  is a homotopy between  $b \circ f_0$  and  $b \circ f_1$ .

Corollary: If  $f_0 \simeq f_1$  and  $g$  is a homotopy inverse for  $f_0$ , then by the lemma we have  $f_1 \circ g \simeq f_0 \circ g \simeq \mathbb{1}_Y$  and  $g \circ f_1 \simeq g \circ f_0 \simeq \mathbb{1}_X$ . So something even stronger is true: if  $g$  is a homotopy inverse for  $f$  then it is a homotopy inverse for any map to which  $F$  is homotopic.

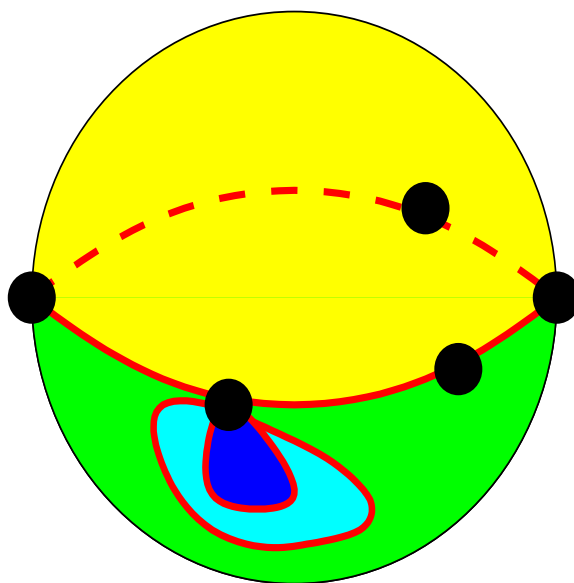
**Problem #6** [Hatcher p.19 #14] Given nonnegative integers  $v, e, f$  with  $v - e + f = 2$  (and  $v, f > 0$ ), construct a cell structure on  $S^2$  having  $v$  0-cells,  $e$  1-cells, and  $f$  2-cells. (Do not use any facts about spanning trees or Euler characteristic.)

**Solution:** First, a terminological note. The following phrases are all synonyms:

- “cell structure on  $S^2$ ”;
- “cell complex homeomorphic to  $S^2$ ”;
- “cellular 2-sphere”;
- “cellular  $S^2$ ”;
- “cellulation of  $S^2$ ”.

There is only one cellular  $S^2$  with  $(v, e, f) = (1, 0, 1)$ : take a 2-cell and squash its boundary to a point. Equivalently, this is the one-point compactification of  $\mathbb{R}^2$ .

For the case  $e > 0$ , there are several constructions; here is one. Draw a sphere with an equator, and put  $v$  vertices on the equator, making  $v$  edges between them. (If  $e = 1$  this means that the equator is a loop; that’s okay.) Then pick one of the vertices and draw  $f - 2$  nested loops at it, all reaching into the southern hemisphere. We end up with a cell structure with  $v$  vertices and  $v + f - 2 = e$  edges. The number of faces is  $f$  because the equator separates the globe into two 2-cells (the northern and southern hemispheres), and each loop adds one more to the count of 2-cells. For example, here is a picture with  $(v, e, f) = (5, 7, 4)$ :



Note 1: In order to have a *regular* cellular 2-sphere, I believe it is necessary and sufficient to have at least two cells of each dimension.

Note 2: Many of you broke the problem into several cases depending on the value of  $e$ . This is OK as a means of solving the problem, but when you write it up, you should see if you can find a simpler solution that does not require case analysis.